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## NOTICE OF ALLOWANCE AND FEE(S) DUE

7590

08/03/2006

Andrew M. Calderon GREENBLUM & BERNSTEIN, P.L.C. 1950 Roland Clarke Place Reston, VA 20191

| EXA      | MINER            |  |  |  |  |
|----------|------------------|--|--|--|--|
| SMOOT,   | SMOOT, STEPHEN W |  |  |  |  |
| ART UNIT | PAPER NUMBER     |  |  |  |  |
| 2813     |                  |  |  |  |  |

DATE MAILED: 08/03/2006

|   | APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---|-----------------|-------------|----------------------|---------------------|------------------|
| , | 10/605,906      | 11/05/2003  | An L. Steegen        | FIS920030236US1     | 2905             |

TITLE OF INVENTION: METHOD AND STRUCTURE FOR FORMING STRAINED SI FOR CMOS DEVICES

| APPLN. TYPE    | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE   |
|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | NO           | \$1400        | \$300               | \$0                  | \$1700           | 11/03/2006 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN <u>THREE MONTHS</u> FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. <u>THIS STATUTORY PERIOD CANNOT BE EXTENDED</u>. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

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B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

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II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

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od for transmitting the ISSUE FFF and

| appropriate. All further   | correspondence includir<br>ed below or directed oth  | ng the Patent, advance of   | rders and notification of<br>a) specifying a new corre   | maintenance fees v<br>spondence address;  | ill be and/or               | mailed to the current<br>r (b) indicating a separ                              | correspondence address as rate "FEE ADDRESS" for   |
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| 1950 Roland Cla  | lderon<br>& BERNSTEIN, P.<br>arke Place  | /2006<br>L.C.   | I h<br>Sta   | Cer<br>ereby certify that th<br>tes Postal Service v  | tificate                    | e of Mailing or Transc<br>s) Transmittal is being<br>ficient postage for firs  | nission deposited with the United t class mail in an envelope above, or being facsimile tte indicated below.                         |
| Reston, VA 2019  | 91   |   |  |   |                             |  | (Depositor's name)   |
|  |  |   |  |   |                             |  | (Signature)  |
|  |  |   | L  |   |                             |  | (Date)   |
| APPLICATION NO.  | FILING DATE  |   | FIRST NAMED INVENTO  | R   | ATTO                        | RNEY DOCKET NO.  | CONFIRMATION NO.   |
| 10/605,906   | 11/05/2003   | <u> </u>  | An L. Steegen  | **  | FI                          | S920030236US1  | 2905   |
| ·  |  |   | G STRAINED SI FOR CM   | •   |                             |  | ·  |
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| nonprovisional   | NO   | \$1400  | \$300  | \$0<br><b>¬</b>   |                             | \$1700   | 11/03/2006   |
| EXAM   | INER   | ART UNIT  | CLASS-SUBCLASS   | _   |                             |  |  |
| SMOOT, ST  | TEPHEN W   | 2813  | 438-199000   |   |                             |  |  |
| "Fee Address" ind  | ondence address (or Cha<br>3/122) attached.<br>ication (or "Fee Address<br>2 or more recent) attach          | nge of Correspondence   | 2. For printing on the (1) the names of up to agents OR, alternat (2) the name of a sing registered attorney or 2 registered patent attlisted, no name will be   | o 3 registered pater<br>ively,<br>tle firm (having as a<br>agent) and the nam<br>orneys or agents. If | t attorn                    | era 2  |  |
| PLEASE NOTE: Uni<br>recordation as set fort<br>(A) NAME OF ASSIG             | ess an assignee is ident<br>h in 37 CFR 3.11. Comp<br>GNEE   | ified below, no assignee<br>pletion of this form is NO                        | T a substitute for filing ar  (B) RESIDENCE: (CIT  | patent. If an assign<br>assignment.<br>Y and STATE OR (   | COUNT                       | TRY)   | ocument has been filed for up entity Government  |
| Please check the appropr   | iate assignee category or  | categories (will not be pr  |  |   |                             | -  |  |
|  | are submitted:<br>Io small entity discount p<br># of Copies  | permitted)  | b. Payment of Fee(s): (Ple A check is enclosed. Payment by credit ca The Director is hereb overpayment, to Dep   | urd. Form PTO-2038  | is atta                     | uched. required fec(s), any def  |  |
| 5. Change in Entity State  | tus (from status indicates<br>s SMALL ENTITY state   | *   | ☐ b. Applicant is no los   | nger claiming SMA   | LL EN                       | TITY status. See 37 CF   | R 1.27(g)(2).  |
| NOTE: The Issue Fee and interest as shown by the                             | d Publication Fee (if req<br>records of the United Sta   | uired) will not be accepte<br>ites Patent and Trademark                       | d from anyone other than Office.   | the applicant; a reg  | stered a                    | attorney or agent; or th   | e assignee or other party in   |
| Authorized Signature   | M  |   |  | Date  |                             |  |  |
| Typed or printed name  | e  |   |  | Registration N  | No                          |  |  |
| an application. Confident submitting the completed this form and/or suggesti | tiality is governed by 35<br>I application form to the<br>ons for reducing this bu<br>irginia 22313-1450. DO | U.S.C. 122 and 37 CFR<br>USPTO. Time will vary<br>rden, should be sent to the | 1.14. This collection is endeduced the indicate of the collection of the indicate of the collection is end of the collection of the collection of the collection is end of the collection of the | stimated to take 12<br>vidual case. Any co<br>er. U.S. Patent and                                     | minutes<br>omment<br>Trader | s to complete, including<br>ts on the amount of ting<br>mark Office, U.S. Depa | by the USPTO to process) g gathering, preparing, and ne you require to complete utment of Commerce, P.O. for Patents, P.O. Box 1450, |

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| 7590 08/03/2006                              |             |                                 | EXAM                | EXAMINER        |  |  |  |
| Andrew M. Calde                              | ron         | SMOOT, ST                       | SMOOT, STEPHEN W    |                 |  |  |  |
| GREENBLUM & BERNSTEIN, P.L.C.                |             |                                 | ART UNIT            | PAPER NUMBER    |  |  |  |
| 1950 Roland Clarke Place<br>Reston, VA 20191 |             | 2813<br>DATE MAILED: 08/03/2006 | 5                   |                 |  |  |  |

## Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 39 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 39 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.